

# Astrophysics Projects Division



Physics of the Cosmos Program



Cosmic Origins Program

## PCOS Technology Needs Identification and Prioritization Process

For discussion with PhysPAG

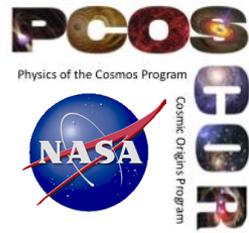
AAS Long Beach, CA

January 6, 2013

Thai Pham

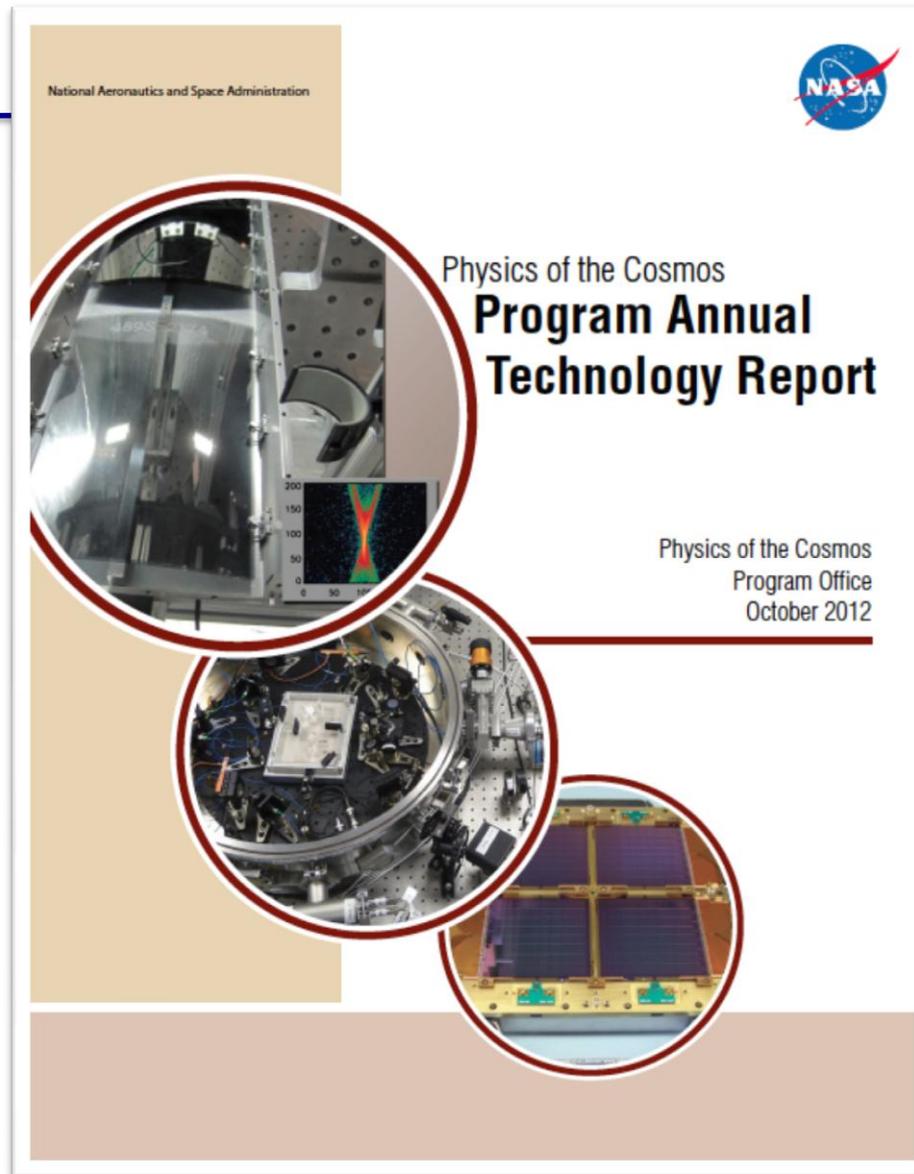
# Agenda

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- How the PhysPAG can help
- Quick summary of last two year's PCOS technology needs identification and prioritization process
- Consideration for change to our process
- Open discussion

# The 2012 PCOS PATR



The PCOS PATR can be downloaded from <https://pcos.gsfc.nasa.gov>

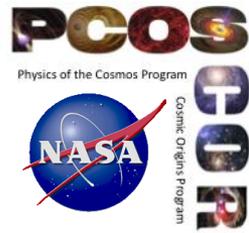
# How the PhysPAG Can Help

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- Provide feedback on technology identification and prioritization process
- Continue to collect and consolidate technology needs inputs for Program Office (PO) prioritization
  - Focus on achieving uniform definition of technology needs
  - Narrow needs down to what PAG sees as appropriate for consideration for strategic technology development
- Provide PO with needs list by the end of June
- Continue feedback dialogue – thank you!

# Annual Technology Needs Identification and Prioritization

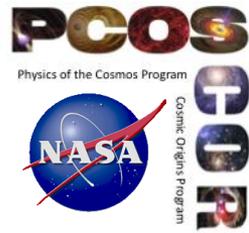
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- A Program technology needs identification and prioritization process has been implemented for PCOS and COR for the last 2 years
- The objectives of this process are to:
  - Identify technology needs that are applicable and relevant to Program science objectives
  - Then prioritize these needs with respect to a published set of criteria
- The outcome of this process is used to:
  - Inform the Program's call for SAT proposals and other technology development Program planning (SBIR and other OCT activities)
  - Inform technology developers of the Program needs
  - Guide the selection of technology awards to be aligned with Program goals and science objectives
- This process is designed to:
  - Improve the transparency and relevance of Program technology investments
  - Inform the community about and engage it in our technology development process
  - Leverage the technology investments of external organizations by defining needs and a customer

# Overview of the Technology Needs Identification and Prioritization Process

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- The community identifies technology needs each summer by working with the PAG or through direct individual submission to the Program Office’s website.
- The Program Technology Management Board (TMB) reviews and vets community identified technology needs, defines their priorities, and recommends investment consideration.
  - TMB membership includes senior members of the Program at NASA HQ and in the Program Office, and when needed, subject matter expert(s) from the community.
- The TMB prioritizes the technology needs based on a published set of criteria that includes an 11-point assessment that addresses scientific priorities (Decadal Survey), benefits and impacts, timeliness, risk reduction and effectiveness of investment.
- The technology needs and the resulting priorities are published each year in the Program Annual Technology Report (PATR).

# Prioritization Criteria Address ...

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- **STRATEGIC ALIGNMENT-** Aligns with scientific and/or programmatic priorities as determined by the Decadal Review, other community-based review or study, other peer review, or programmatic assessment
- **BENEFIT/IMPACT-** Degree of unique or enabling/enhancing capability the technology provides. Impact of the technology on the science, the implementation and the schedule. How many mission concepts can benefit from this technology? (cross-cutting)
- **TIMELINESS** of the technology investment. Time available before the technology is needed to be at TRL6.
- **RISK REDUCTION-** Reduction of risk profile (technical or programmatic (cost, schedule))
- **EFFECTIVENESS-** How well defined is the required technology. Is there a clear description of what is sought? Are there other sources of funding to mature this technology? Are there credible providers/developers of this technology?

## Technology Needs Prioritization Criteria

| #  | Criterion   | Weight | Score (0-4) | Weighted Score | General Description/Question   | Score Meaning   |   |  |  |   |
|----|---|--------|-------------|----------------|--|---|---|--|--|---|
|    |   |        |             |                |  | 4   | 3   | 2  | 1  | 0   |
| 1  | Scientific Ranking of Applicable Mission Concept    | 4      | 4           | 16             | Scientific priority as determined by the Decadal Review, other community-based review, other peer review, or programmatic assessment. Captures the importance of the mission concept which will benefit from the technology. | Highest ranking   | Medium rank   | Low rank   | Not ranked by the Decadal  | No clear applicable mission concept   |
| 2  | Overall Relevance to Applicable Mission Concept     | 4      | 4           | 16             | Impact of the technology on the applicable mission concept. Captures the overall importance of the technology to the mission concept.  | Critical key enabling technology - required to meet mission concept goals   | Highly desirable technology - reduces need for critical resources and/or required to meet secondary mission concept goals                                 | Desirable - offers significant benefits but not required for mission success                                   | Minor implementation improvements  | No implementation improvement   |
| 3  | Scope of Applicability                              | 3      | 4           | 12             | How many mission concepts could benefit from this technology? The larger the number, the greater the reward from a successful development.   | The technology applies to multiple mission concepts across multiple NASA programs <b>and</b> other agencies                                 | The technology applies to multiple mission concepts across multiple NASA programs <b>or</b> other agencies  | The technology applies to multiple mission concepts within a single NASA program                               | The technology applies to a single mission concept   | No known applicable mission concept   |
| 4  | Time To Anticipated Need                            | 3      | 4           | 12             | When does the technology need to be ready for implementation?  | 4 to 8 years (this decade)  | 9 to 14 years (early 2020s)   | 15 to 20 years (late 2020s)  | Greater than 20 years (2030s)  | No anticipated need   |
| 5  | Scientific Impact to Applicable Mission Concept     | 2      | 4           | 8              | Impact of the technology on the scientific harvest of the applicable mission concept. How much does this technology affect the scientific harvest of the mission?  | Needed for applicable mission concept   | Major improvement (> ~2x) to primary scientific goals   | Only enables secondary scientific goals  | Minor scientific improvement   | No scientific improvements  |
| 6  | Implementation Impact to Applicable Mission Concept | 2      | 4           | 8              | Impact of the technology on the implementation efficiency of the applicable mission concept. How much does this technology simplify the implementation or reduce the need for critical resources?                            | Needed for applicable mission concept   | Enables major savings in critical resources (e.g., smaller launch vehicle, longer mission lifetime, smaller spacecraft bus, etc.) or reduces a major risk | Enables minor savings in critical resources or reduces a minor risk  | Minor implementation improvement   | No implementation improvements  |
| 7  | Schedule Impact to Applicable Mission Concept       | 2      | 4           | 8              | Impact of the technology on the schedule of the applicable mission concept. How much does this technology simplify the implementation to bring in the schedule?  | Technology is likely to drive the applicable mission schedule.  | Technology is likely to drive the schedule for a major subsystem/ component of the applicable mission concept   | Technology is likely to drive the schedule for a minor applicable mission concept component                    | Technology is less likely to be a factor for the schedule of the applicable mission concept  | Technology will not be a factor for the schedule of the applicable mission concept  |
| 8  | Risk Reduction to Applicable Mission Concept        | 2      | 4           | 8              | Ability of the technology to reduce risks by providing an alternate path for a high risk technology that is part of the applicable mission concept.  | Technology is a direct alternative to a key technology envisioned for the applicable mission concept. No other known alternate technologies | Technology is a direct alternative to a key technology envisioned for the applicable mission concept. At least one other known alternate technology       | Technology is a direct alternative to a secondary technology envisioned. No other known alternate technologies | Technology is a direct alternative to a secondary technology envisioned. At least one other known alternate technology   | No risk benefits or technology is already part of the applicable mission concept  |
| 9  | Definition of Required Technology                   | 1      | 4           | 4              | How well defined is the required technology? Is there a clear description of what is sought?   | Exquisitely defined   | Well defined, but some vagueness  | Well defined, but some conflicting goals not clarified   | Not well defined, lacking in clarity   | Poorly defined, not clear at all what is being described  |
| 10 | Other Sources of Funding                            | 1      | 4           | 4              | Are there other sources of funding to mature this technology? If funding is expected to be available from other sources, this will lower the prioritization.   | No, the Program is the only viable source of funding.   | Interest from other sources can be developed during the development time of the technology  | Interest from other sources is likely during the development time of the technology                            | Moderate investments (relative to the potential level for a NASA investment) in the technology are already being made by other programs, agencies, or countries. | Major investments (relative to the potential level for a NASA investment) in the technology are already being made by other programs, agencies, or countries. |
| 11 | Availability of Providers                           | 1      | 4           | 4              | Are there credible providers/developers of this technology? Where providers are scarce, there may be a compelling need to maintain continuity for the technology in the event there are no replacement technologies.         | Potential providers/developers have insufficient capabilities to meet applicable mission concept needs.                                     | Potential providers/developers have uncertain capability relative to applicable mission concept needs.  | Single competent and credible provider/developer known   | Two competent and credible providers/developers known  | Multiple competent and credible providers/developers known  |

# Changes in Consideration

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- Technology needs list is unwieldy (>90 inputs) given that we can only afford to invest in ~5 SATs
  - Plan to reduce inputs for consideration. Options include:
    - ➔ Focus on technologies associated with NWNH
    - ➔ Focus on short and medium term needs in mid TRL (3-5) i.e. those with well defined, quantifiable paths to TRL 6.
    - ➔ Should we provide inputs to APRA for needs where current TRL is ~1-3
    - ➔ Remove matured technology needs (TRL  $\geq$  6), engineering needs, duplications and similar needs statements
    - ➔ Focus on technologies for program objectives (launch vehicle, rover, avionics, spacecraft systems are best assess by OCT)
    - ➔ Emphasis on uniform description of technology need inputs
- Prioritization criteria can be reduced from 11 to 4

# Draft Version of Revised Prioritization Criteria

Technology Needs Prioritization Criteria

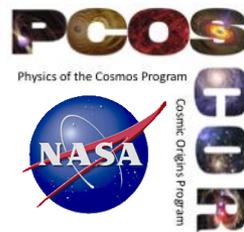
|   |                          |        |       |        | Score Meaning   |  |  |  |   |   |
|---|--------------------------|--------|-------|--------|---|--|--|--|---|---|
| # | Criterion                | Weight | Score | Weight | General Description/Question  | 4  | 3  | 2  | 1   | 0   |
| 1 | Strategic Alignment      | 4      | 4     | 16     | Technology enables or enhances a mission concept that is prioritized by the Decadal Review, other community-based review or study, other peer review, or programmatic assessment.                         | Highest ranking  | Medium rank  | Low rank   | Not ranked by the Decadal but has applicable mission concept                                  | No clear applicable mission concept             |
| 2 | Benefits and Impacts     | 10     | 4     | 16     | Impact of the technology on the applicable mission concept. Degree of unique or enabling/enhancing capability the technology provides toward the science objective and the implementation of the mission. | Critical and key enabling technology - required to meet mission concept objective(s)                 | Highly desirable technology significantly enhances science objective(s) and/or reduces need for critical resources | Desirable offers significant science or implementation benefits but not required for mission success | Minor science impact or implementation improvements   | No science impact or implementation improvement |
| 3 | Scope of Applicability   | 3      | 4     | 12     | How cross-cutting is the technology. How many mission concepts could benefit from this technology?  | The technology applies to multiple mission concepts across multiple NASA programs and other agencies | The technology applies to multiple mission concepts across multiple NASA programs or other agencies                | The technology applies to multiple mission concepts within a single NASA program                     | The technology applies to a single mission concept  | No known applicable mission concept             |
| 4 | Time to Anticipated Need | 3      | 4     | 12     | When does the technology need to be ready for a decision point or implementation?   | Decision point is now or overdue, and implementation is needed within 7 years (this decade)          | Decision point is now or overdue, or implementation is needed in 8 to 12 years (early 2020's)                      | Decision point is within 4 years, or implementation is needed in 13 to 17 years (late 2020's)        | Decision point is 5 to 10 years away, or implementation is needed 7.8 years or later (2030's) | No anticipated need                             |

# Discussion

# Back-up

# PCOS Technology Needs Prioritization

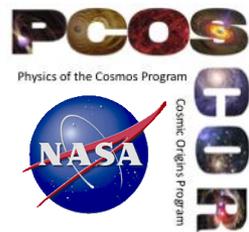
## From 2012 PATR (top 2 of 4 priorities)



| Priority | PCOS Technology Needs  | Science            |
|----------|--|--------------------|
| <b>1</b> | Large format Mercury Cadmium Telluride CMOS IR detectors, 4K x 4K pixels   | Dark Energy        |
|          | High-resolution X-ray microcalorimeter: central array (~1,000 pixels): 2.5 eV FWHM at 6 keV; extended array: 10 eV FWHM at 6 keV.        | X-ray              |
|          | Dimensionally stable optical telescope: stringent length (pm) and alignment (nrad) stability with low straylight                         | Gravitational Wave |
|          | Metrology laser: 10 yr life, frequency-stabilized, 2W, low noise, fast frequency and power actuators                                     | Gravitational Wave |
|          | Lightweight, replicatable x-ray optics   | X-ray              |
|          | High resolution X-ray gratings (transmission or reflection)  | X-ray              |
|          | Large format (1,000-10,000 pixels) arrays of CMB polarimeters with noise below the CMB photon noise and excellent control of systematics | Inflation          |
|          | Micronewton thrusters: 10 yr. life, low contamination, low thrust noise  | Gravitational Wave |
|          | Lightweight precision mirror mounting structure  | X-ray              |
| <b>2</b> | High throughput anti-reflection coatings with controlled polarization properties   | Inflation          |
|          | Stable and continuous sub-Kelvin coolers for detectors   | Inflation          |
|          | High-throughput, light, low-cost, cold, mm-wave telescope operating at low backgrounds   | Inflation          |
|          | Polarization modulating optical elements   | Inflation          |

# PCOS Technology Needs Prioritization

## From 2012 PATR (priority 3 of 4)



|  |   |                     |
|--|---|---------------------|
| <b>3</b>   | Gigapixel X-ray active pixel sensors  | X-ray               |
|  | Very large format ( $>10^5$ pixels) FPA with background-limited performance and multi-color capability              | FarIR               |
|  | Molecular clocks/cavities with $10E-15$ precision over orbital period; $10E-17$ precision over 1-2 year experiment. | Fundamental Physics |
|  | Cooled atomic clocks with $10E-18$ to $10E-19$ precision over 1-2 year experiment                                   | Fundamental Physics |
|  | Cryocooler $<100$ mK with 1 mK stability (IXO heritage)   | X-ray               |
|  | Large throughput, cooled mm-wave to far IR telescope operating at background limit                                  | FarIR               |
|  | Cooling to 50-300 mK  | FarIR               |
|  | Megapixel microcalorimeter array  | X-ray               |
|  | Coupling of ultra-stable lasers with high-finesse optical cavities for increased stability                          | Fundamental Physics |
| Lightweight adjustable optics to achieve 0.1 arcsec high resolution grating spectrometer | X-ray   |                     |

# PCOS Technology Needs Prioritization

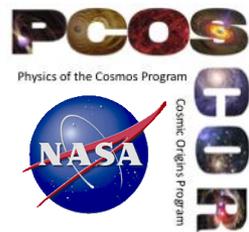
## From 2012 PATR (priority 4 of 4) 1 of 2

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|   |                    |
|---|--------------------|
| Coded aperture imaging: ~5 mm thick W and ~2.5 mm holes; ~0.5 mm W and ~0.2 mm holes              | X-ray              |
| Wavefront sensing with cold atoms   | Gravitational Wave |
| Cooled Ge   | Gamma              |
| Arrays of Si, CZT or CdTe Pixels  | Gamma              |
| Finely pixelated CZT detectors for hard X-rays  | X-ray              |
| ASIC on each ~20x20 mm crystal  | X-ray              |
| Arcsecond attitude control to maintain resolution   | X-ray              |
| Hard X-Ray grazing incidence optics with multi-layer coatings with at least 5" angular resolution | X-ray              |
| Loop Heat Pipe to radiators for ~-30 deg (Si) and ~-5 deg (CZT) over large areas                  | X-ray              |
| Low CTE materials   | Gravitational Wave |
| Large area atom optics  | Gravitational Wave |
| Long booms or formation flying  | Gamma              |
| High rate X-ray Si detector (APS).  | X-ray              |
| Compton telescope on single platform  | Gamma              |
| 1 m precision optics (1/1,000)  | Gravitational Wave |
| Sun-shield for atom cloud   | Gravitational Wave |
| Active cooling of germanium detectors   | Gamma              |
| Passive cooling of pixel arrays   | X-ray              |
| Low power ASIC readouts   | X-ray              |
| Scintillators, cooled Ge  | Gamma              |
| No optics; source isolation by collimator   | X-ray              |
| ASIC readouts   | Gamma              |
| Piezoelectric Adjustable X-ray Optics   | X-ray              |
| Quadrant photodetector: low noise   | Gravitational Wave |
| ADC: 10 yr life, low noise (amplitude and timing)   | Gravitational Wave |

# PCOS Technology Needs Prioritization

## From 2012 PATR (priority 4 of 4) 2 of 2



|  |  |                    |
|--|--|--------------------|
| <b>4</b>   | Depth graded multilayer coatings for hard X-ray optics                                     | Next               |
|  | Laser interferometer ~1 kWatt laser  | Gravitational Wave |
|  | extendable optical bench to achieve 60 m focal length                                      | X-ray              |
|  | Active cooling of germanium detectors  | Gamma              |
|  | >3 m <sup>2</sup> Si (or CZT or CdTe) pixel arrays or hybrid pixels -- possibly deployable | X-ray              |
|  | Broadband X-ray Polarimeter  | X-ray              |
|  | 10 W near IR, narrow line  | Gravitational Wave |
|  | Finely pixelated detectors for high angular resolution hard X-ray imaging.                 | X-ray              |
|  | Gravity Reference Unit (GRU) with ~100x lower noise  | Gravitational Wave |
|  | focusing elements (e.g., Laue lens) on long boom or separate platform                      | Gamma              |
|  | Photocathodes, microchannel plates, crossed grid anodes                                    | X-ray              |
|  | 3 m precision optics   | Gravitational Wave |
|  | Low-frequency, wide-bandwidth, low-mass science antennas                                   | 21 cm              |
|  | Thin lightweight X-ray concentrator  | X-ray              |
|  | Point source optimized X-ray concentrator  | X-ray              |
|  | Lightweight, high throughput Fresnel optics  | Near UV            |
|  | Advanced scintillators and readouts for gamma-ray detection                                | Gamma              |
|  | Lobster eye X-ray optics for all-sky monitors  | X-ray              |
|  | Megapixel CCD camera   | Gravitational Wave |
|  | Ultra-low power, temperature resistant, radiation tolerant analog electronics              | 21 cm              |
| Ultra-low power, temperature resistant, radiation tolerant digital electronics | 21 cm  |                    |
| Autonomous low-power generation and storage                                    | 21 cm  |                    |
| Thermal stability/control less than 10E-8 K variation                          | Fundamental Physics  |                    |
| Low-cost launch vehicles for single payloads with few months mission durations | X-ray  |                    |